PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

C mpl te if Kn wn

Application Number 10/084,650

Filing Date 02/28/2002

First Named Inventor MERKOULOVITCH, Leonid et al.

Group Art Unit n/a

Examiner Name n/a

(use as many sheets as necessary)

of 2 Attorney Docket Number 11483-159

8			U.S. PATENT	DOCUMENTS		
Seminer Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relev Passages or Relevant Figures Appear	
ST		US-2001-0025264	09-27-2001	Deaddio et al.		
ST		US- 2001-0011243	08-02-2001	Dembo et al.		
_		US-				
	1	US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	_ Τ⁰
		Country Code ³ - Number ⁴ - Kind Code ⁶ (il known)	Publication Date MM-DD-YYYY	Applicant of Cited Document		
	ļ					
				-		
						_
				 		

Examiner Signature	/Scott Trotter/	Date Considered	03/05/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form

Complete if Known Application Number 10/084,650 Filing Date 02/28/2002 First Named Inventor MERKOULOVITCH, Leonid et al. Group Art Unit **Examiner Name** n/a

(use as many sheets as necessary) Sheet of 2 Attorney Docket Number 11483-159

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
ST		JAMSHIDIAN and Zhu, Scenario Simulation Theory and Methodology, Finance and Stochastics, 4(1): 43-67 (1997).	
ST		KREININ et al., Measuring Portfolio Risk Using Quasi Monte Carlo Methods, Algo Research Quarterly, 1(1): 17-25 (1998).	
ST		KREININ et al., Principal Component Analysis in Quasi Monte Carlo Simulation, Algo Research Quaterly, 1(2): 21-29 (1998).	
ST		MERKOULOVITCH, Leonid, "GenLib: Introduction to Generators", Algo Research Quarterly Vol. 4, No.3, 37-55, September, 2001.	
		,	
			,

Examiner Signature	/Scott Trotter/	Date Considered	03/05/2007
Signature		Considered	03/03/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.